

**GEORGIA GWINNETT COLLEGE****OFFICE OF RESEARCH AND SPONSORED PROGRAMS**

I have completed the post-award meeting with the Office of Research & Sponsored Programs and Accounting Services. I understand my roles and obligations regarding my grant award, including any circulars mentioned in the agreement.

I further understand that I must comply with all Sponsor, BOR and GGC policies regarding expenditures approved within the grant budget including, but not limited to, personnel hiring and compensation, purchase of supplies and food, travel and other categories that may be allowed.

***Others requirements of the award:***

This document also certifies the award's requirement to inform me, in writing, of employee whistleblower rights and protections under 41 U.S.C. 4712 section 828 of the National Defense Authorization Act for Fiscal Year 2013 (Pub.L. 112-239). Please read the attached Notice to Grant Recipient regarding 41 U.S.C. 4712 section 828.

The document also certifies that you have read the NSF term and condition regarding sexual harassment and other forms of harassment.

***Training:***

Please complete citiprogram (<https://www.citiprogram.org/>) for:

- Responsible Conduct of Research (Must also be completed by your undergraduate students engaged in or conducting research for an NSF program. Exclusions: students receiving scholarships through S-STEM and Noyce programs. Please ensure that ORSP receives a copy of this document.)

Grant Title: \_\_\_\_\_

Sponsor: \_\_\_\_\_

**Signatures:**

Signed: \_\_\_\_\_ DATE: \_\_\_\_\_

Please print name: \_\_\_\_\_

## Compliance - Enhanced Whistleblower Protection

### NOTICE TO GRANT RECIPIENT

The [National Defense Authorization Act, P.L. 112-239 \(PDF\)](#) (NDAA) for Fiscal Year 2013 established a four-year pilot program for the enhancement of contractor and subcontractor employee protections from reprisal for disclosure of, “information that the employee reasonably believes is evidence of gross mismanagement of a Federal contract or grant, a gross waste of Federal funds, an abuse of authority relating to a Federal contract or grant, a substantial and specific danger to public health or safety, or a violation of law, rule, or regulation related to a Federal contract (including the competition for or negotiation of a contract) or grant.”<sup>1</sup>

Specifically, [Section 828\(a\)](#) of the NDAA, *Pilot Program for Enhancement of Contractor Employee Whistleblower Protections*, provides that, “An employee of a contractor, subcontractor, or grantee may not be discharged, demoted, or otherwise discriminated against as a reprisal for disclosing the aforementioned types of information to:

- (a) A Member of Congress or a representative of a committee of Congress.
- (b) An Inspector General.
- (c) The Government Accountability Office.
- (d) A Federal employee responsible for contract or grant oversight or management at the relevant agency.
- (e) An authorized official of the Department of Justice or other law enforcement agency.
- (f) A court or grand jury.
- (g) A management official or other employee of the contractor, subcontractor, or grantee who has the responsibility to investigate, discover, or address misconduct.”<sup>2</sup>

The pilot program also establishes a new process for review of whistleblower reprisal complaints alleged by employees of contractors, subcontractors, and grantees, “A person who believes that the person has been subjected to a reprisal prohibited by subsection (a) may submit a complaint to the Inspector General of the executive agency involved.”<sup>3</sup>

Procedures for submitting fraud, waste, abuse, and whistleblower complaints are generally accessible on agency Office of Inspector General (OIG) Hotline or Whistleblower Internet sites, such as these:

- o Department of Agriculture : <http://www.usda.gov/oig/contractorform.htm>
- o Department of Health and Human Services:  
<https://forms.oig.hhs.gov/hotlineoperations/>
- o National Institutes of Health:  
<http://oma.od.nih.gov/public/DPI/Pages/default.aspx>
- o National Science Foundation: <http://www.nsf.gov/oig/>

<sup>1</sup> [Federal Statute: 41 U.S.C. § 4712](#), Sec. 828(a)

<sup>2</sup> [Ibid](#), Sec. 828(a)

<sup>3</sup> [Ibid](#), Sec. 828(b)(1)

## National Science Foundation

### **Term and Condition: Sexual Harassment, Other Forms of Harassment, or Sexual Assault**

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The National Science Foundation (NSF) has made clear its commitment to foster safe research and learning environments. NSF continues this commitment with the publication on September 21st of the final version of a new term and condition entitled "Notification Requirements Regarding Findings of Sexual Harassment, Other Forms of Harassment, or Sexual Assault" in the Federal Register. When incorporated into an NSF award, awardee organizations will be required to notify NSF of any findings/determinations of sexual harassment, other forms of harassment, or sexual assault regarding an NSF funded Principal Investigator (PI) or co-PI, or of the placement of the PI or co-PI on administrative leave, or the imposition of any administrative action relating to harassment or sexual assault finding or investigation.

#### **TERM AND CONDITION**

To view the full term and condition please view [Federal Register Notice](#).

<https://www.federalregister.gov/documents/2018/09/21/2018-20574/notification-requirements-regarding-findings-of-sexual-harassment-other-forms-of-harassment-or>

#### **TERM AND CONDITION FREQUENTLY ASKED QUESTIONS (FAQs)**

Please view the Notification Requirements Regarding Findings of Sexual Harassment, Other Forms of Harassment, or Sexual Assault [FAQs](#) for additional information on the new requirement. Site:

[https://www.nsf.gov/od/odi/docs/Sexual\\_Harassment\\_FAQs.pdf](https://www.nsf.gov/od/odi/docs/Sexual_Harassment_FAQs.pdf)

NSF 16-1 January 25, 2016

## Chapter IV - Grantee Standards

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NSF encourages the increased involvement of academic researchers and educators with industry and private entrepreneurial ventures, but recognizes that such interactions carry with them an increased risk of conflict of interests. AAG Chapter IV.A contains NSF's policy on conflict of interest.

2 CFR § 200 prescribes three separate sets of standards related to the financial and program management of Federal grants, each governing a different area: financial management, property management, and procurement management. AAG Chapter [IV.C](#), [IV.D](#) and [IV.E](#) implement these standards, and extend their applicability to all types of recipients of NSF grants, including for-profit organizations.

### B. RESPONSIBLE CONDUCT OF RESEARCH (RCR)

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#### 1. Background

The responsible and ethical conduct of research (RCR) is critical for excellence, as well as public trust, in science and engineering. Consequently, education in RCR is considered essential in the preparation of future scientists and engineers. Section 7009 of the America Creating Opportunities to Meaningfully Promote Excellence in Technology, Education, and Science (COMPETES) Act (42 U.S.C. 1862o-1) requires that "each institution that applies for financial assistance from the Foundation for science and engineering research or education describe in its grant proposal a plan to provide appropriate training and oversight in the responsible and ethical conduct of research to undergraduate students, graduate students, and postdoctoral researchers participating in the proposed research project."

The language specified below provides NSF's implementation of Section 7009.

#### 2. Institutional Responsibilities

- a. An institution must have a plan in place to provide appropriate training and oversight in the responsible and ethical conduct of research to undergraduates, graduate students, and postdoctoral researchers who will be supported by NSF to conduct research. As noted in [GPG Chapter II.C.1e](#), institutional certification to this effect is required for each proposal.
- b. While training plans are not required to be included in proposals submitted to NSF, institutions are advised that they are subject to review, upon request.
- c. An institution must designate one or more persons to oversee compliance with the RCR training requirement.
- d. Institutions are responsible for verifying that undergraduate students, graduate students, and postdoctoral researchers supported by NSF to conduct research have received training in the responsible and ethical conduct of research.